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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE



LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.: 1946/1A483-US8 SERIAL NO: 09/760,307
 APPLICANT: Sam J. Milstein et al. FILING DATE: January 11, 2001
 CONFIRMATION NO: 8759

U.S. PATENT DOCUMENTS

| <u>*EXAMINER INITIALS</u> | <u>DOCUMENT NUMBER</u> | <u>DATE</u> | <u>NAME</u> | <u>CLASS</u> | <u>SUBCLASS</u> | <u>FILING DATE</u> |
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| <u>*EXAMINER INITIALS</u> | <u>DOCUMENT NUMBER</u> | <u>DATE</u> | <u>COUNTRY</u> | <u>CLASS</u> | <u>SUBCLASS</u> | <u>TRANSLATION YES NO</u> |
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OTHER REFERENCES

(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

*EXAMINER
INITIALS

1. Brown, G. and Foubister, A.J., *J. Med. Chem.* 27:79-81 (1984)

EXAMINER:

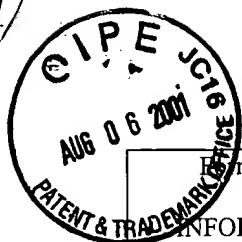
L. Channe Vajjala

DATE CONSIDERED:

11-28-01

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Sheet 3

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| | | |
|--|-------------------------------|----------------------------|
| Form PTO-1449 (Rev. 10-92) [modified] INFORMATION DISCLOSURE CITATION IN AN APPLICATION (use several sheets if necessary) | Docket No.: 1946/1A483-US8 | Application No.:09/760,307 |
| Applicants: Sam J. Milstein, et al. | | |
| Filing Date: 1/11/01 | | Group Art Unit: |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | | | | | | | DATE | NAME OF PATENTEE OR APPLICANT | CLASS | SUB- CLA SS | FILING DATE |
|---------------------|-----------------|---|---|---|---|---|---|----------|----------------------------------|-------|-------------------|----------------|
| ↓ | 4 | 0 | 6 | 1 | 4 | 6 | 6 | 12/6/77 | Sjoholm et al. | 23 | 230 | 10/14/75 |
| | 4 | 1 | 4 | 7 | 7 | 6 | 7 | 4/3/79 | Yapel | 424 | 22 | 9/30/77 |
| | 4 | 2 | 3 | 8 | 5 | 0 | 6 | 12/9/80 | Stach, deceased et al. | 424 | 319 | 6/29/78 |
| | 4 | 2 | 3 | 9 | 7 | 5 | 4 | 12/16/80 | Sache et al. | 424 | 183 | 10/25/77 |
| | 4 | 4 | 4 | 2 | 0 | 9 | 0 | 4/10/84 | Kakeya et al. | 424 | 178 | 3/24/78 |
| | 4 | 4 | 6 | 2 | 9 | 9 | 1 | 7/31/84 | Higuchi et al. | 424 | 177 | 6/11/82 |
| | 4 | 6 | 9 | 2 | 4 | 3 | 3 | 8/8/87 | Hostetler et al. | 514 | 12 | 8/1/85 |
| | 4 | 7 | 0 | 3 | 0 | 4 | 2 | 10/27/87 | Bodor | 514 | 56 | 12/17/85 |
| | 4 | 7 | 5 | 7 | 0 | 6 | 6 | 7/12/87 | Shiokari et al. | 514 | 210 | 7/12/88 |
| | 4 | 8 | 3 | 5 | 3 | 1 | 2 | 5/30/89 | Itoh et al | 564 | 205 | 7/2/86 |
| | 4 | 8 | 7 | 3 | 0 | 8 | 7 | 10/10/89 | Morishita et al. | 424 | 433 | 10/10/89 |
| | 4 | 9 | 0 | 0 | 7 | 3 | 0 | 2/13/90 | Miyauchi | 514 | 12 | 2/13/90 |
| | 5 | 0 | 6 | 6 | 4 | 8 | 7 | 11/19/91 | Morelle et al. | 424 | 68 | 9/12/89 |
| | 5 | 1 | 4 | 9 | 7 | 8 | 2 | 9/22/92 | Chang et al. | 530 | 326 | 8/19/98 |
| | 5 | 2 | 7 | 1 | 9 | 6 | 1 | 12/21/93 | Mathiowitz et al. | 427 | 213 | 12/21/93 |
| | 5 | 3 | 5 | 2 | 4 | 6 | 1 | 10/4/94 | Feldstein et al. | 424 | 493 | 10/4/94 |
| | 5 | 4 | 5 | 5 | 3 | 3 | 5 | 10/3/95 | Kahane et al. | 536 | 5 | 4/8/94 |
| | 5 | 6 | 6 | 5 | 7 | 0 | 0 | 9/9/97 | Cho et al. | 514 | 2 | 8/15/94 |
| | 5 | 7 | 0 | 5 | 5 | 2 | 9 | 1/6/97 | Matyus et al. | 514 | 541 | 10/11/91 |
| | 4 | 8 | 9 | 5 | 7 | 2 | 5 | 01/23/90 | Kantor et al. | 424 | 455 | 04/04/98 |
| | 4 | 9 | 2 | 5 | 6 | 7 | 3 | 5/15/90 | Steiner | 424 | | 8/1487 |
| | 4 | 9 | 7 | 6 | 9 | 6 | 8 | 12/11/90 | Steiner | 424 | 491 | 02/24/89 |
| | 4 | 9 | 8 | 3 | 4 | 0 | 2 | 01/08/91 | Steiner | 424 | 491 | 02/24/89 |
| | 5 | 4 | 0 | 1 | 5 | 1 | 6 | 03/28/95 | Milstein et al. | 424 | 491 | 04/22/93 |
| | 5 | 4 | 4 | 3 | 8 | 4 | 1 | 08/22/95 | Milstein et al. | 424 | 451 | 07/27/92 |
| | 5 | 4 | 4 | 7 | 7 | 2 | 8 | 09/05/95 | Milstein et al. | 424 | 490 | 12/16/93 |
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| | 5 | 5 | 4 | 0 | 9 | 3 | 9 | 07/30/96 | Milstein et al. | 424 | 491 | 04/25/94 |
| | 5 | 5 | 4 | 1 | 1 | 5 | 5 | 07/30/96 | Leone-Bay et al. | 514 | 2 | 04/22/94 |
| | 5 | 5 | 7 | 8 | 3 | 2 | 3 | 11/26/96 | Milstein et al. | 424 | 499 | 06/14/93 |
| | 5 | 6 | 0 | 1 | 8 | 4 | 6 | 02/11/97 | Milstein et al. | 424 | 499 | 05/05/95 |
| | 5 | 6 | 2 | 9 | 0 | 2 | 0 | 05/13/97 | Leone-Bay et al. | 424 | 489 | 04/22/94 |
| | 5 | 6 | 4 | 3 | 9 | 5 | 7 | 07/01/97 | Leone-Bay et al. | 514 | 563 | 10/25/94 |
| | 5 | 6 | 5 | 0 | 3 | 8 | 6 | 07/22/97 | Leone-Bay et al. | 514 | 2 | 03/31/95 |
| | 5 | 6 | 6 | 7 | 8 | 0 | 6 | 09/16/97 | Kantor et al. | 424 | 484 | 06/07/95 |
| | 5 | 6 | 9 | 3 | 3 | 3 | 8 | 12/02/97 | Milstein et al. | 424 | 451 | 09/29/94 |
| | 5 | 7 | 0 | 9 | 8 | 6 | 1 | 01/20/98 | Santiago et al. | 424 | 184 | 01/13/95 |
| | 5 | 7 | 1 | 4 | 1 | 6 | 7 | 02/03/98 | Milstein et al. | 424 | 490 | 10/25/94 |
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| | 5 | 7 | 6 | 6 | 6 | 3 | 3 | 06/16/98 | Milstein et al. | 424 | 489 | 04/22/94 |
| | 5 | 7 | 7 | 3 | 6 | 4 | 7 | 06/30/98 | Leone-Bay et al. | 562 | 444 | 02/07/97 |
| ↓ | 5 | 7 | 7 | 6 | 8 | 8 | 8 | 07/07/98 | Leone-Bay et al. | 514 | 2 | 02/07/97 |

EXAMINER: L. Channavajjala DATE CONSIDERED: 11-28-01

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Sheet 2 of 3

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Form PTO-1449 (Rev. 10-92) [modified]

Docket No.:
1946/1A483-US8

Application No.:09/760,307

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(use several sheets if necessary)

Applicants: Sam J. Milstein, et al.

Filing Date: 1/11/01

Group Art Unit:

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| DEMARC | R | 3 | 5 | 8 | 6 | 2 | | 07/28/98 | Steiner et al. | 424 | 455 | 08/14/87 | |
| | e | 5 | 7 | 9 | 2 | 4 | 5 | 1 | 08/11/98 | Sarubbi et al. | 424 | 85.4 | 03/02/94 |
| | | 5 | 8 | 0 | 4 | 6 | 8 | 8 | 09/08/98 | Leone-Bay et al. | 562 | 444 | 02/07/97 |
| | | 5 | 8 | 1 | 1 | 1 | 2 | 7 | 09/22/98 | Milstein et al. | 424 | 490 | 10/24/94 |
| | | 5 | 8 | 2 | 0 | 8 | 8 | 1 | 10/13/98 | Milstein et al. | 424 | 489 | 04/28/95 |
| | | 5 | 8 | 2 | 4 | 3 | 4 | 5 | 10/20/98 | Milstein et al. | 424 | 489 | 06/07/95 |
| | | 5 | 8 | 4 | 0 | 3 | 4 | 0 | 11/24/98 | Milstein et al. | 424 | 499 | 08/30/96 |
| | | 5 | 8 | 6 | 3 | 9 | 4 | 4 | 01/26/99 | Leone-Bay et al | 514 | 559 | 04/30/97 |
| | | 5 | 8 | 6 | 6 | 5 | 3 | 6 | 02/02/99 | Leone-Bay et al | 514 | 2 | 02/06/97 |
| | | 5 | 8 | 7 | 6 | 7 | 1 | 0 | 03/02/99 | Leone-Bay et al | 424 | 85.1 | 02/07/97 |
| | | 5 | 8 | 7 | 9 | 6 | 8 | 1 | 03/09/99 | Leone-Bay et al | 424 | 85.1 | 02/07/97 |
| | | 5 | 9 | 3 | 5 | 6 | 0 | 1 | 08/10/99 | Leone-Bay et al | 424 | 489 | 04/21/95 |
| | | 5 | 9 | 3 | 9 | 3 | 8 | 1 | 08/17/99 | Leone-Bay et al | 514 | 2 | 02/07/97 |
| | | 5 | 9 | 5 | 5 | 5 | 0 | 3 | 09/21/99 | Leone-Bay et al | 514 | 563 | 02/06/97 |
| | | 5 | 9 | 5 | 8 | 4 | 5 | 7 | 09/28/99 | Santiago et al. | 424 | 490 | 05/10/95 |
| | | 5 | 9 | 6 | 2 | 7 | 1 | 0 | 10/05/99 | Gschneidner et al | 554 | 112 | 05/09/97 |
| | | 5 | 9 | 6 | 5 | 1 | 2 | 1 | 10/12/99 | Leone-Bay et al. | 424 | 85.2 | 02/06/97 |
| | | 5 | 9 | 7 | 2 | 3 | 8 | 7 | 10/26/99 | Milstein et al. | 424 | 491 | 11/21/94 |
| | | 5 | 9 | 7 | 6 | 5 | 6 | 9 | 11/02/99 | Milstein et al. | 424 | 451 | 04/29/97 |
| | | 5 | 9 | 8 | 9 | 5 | 3 | 9 | 11/23/99 | Leone-Bay et al | 424 | 85.2 | 02/06/97 |
| | | 5 | 9 | 9 | 0 | 1 | 6 | 6 | 11/23/99 | Leone-Bay et al | 514 | 563 | 02/07/97 |
| | | 6 | 0 | 0 | 1 | 3 | 4 | 7 | 12/14/99 | Leone-Bay et al | 424 | 85.1 | 02/06/97 |
| | | 6 | 0 | 5 | 1 | 2 | 5 | 8 | 04/18/00 | Kantor | 424 | 491 | 06/07/95 |
| | | 6 | 0 | 5 | 1 | 5 | 6 | 1 | 04/18/00 | Leone-Bay et al | 514 | 56 | 02/07/97 |
| | | 6 | 0 | 6 | 0 | 5 | 1 | 3 | 05/09/00 | Leone-Bay et al | 514 | 559 | 02/07/97 |
| | | 6 | 0 | 7 | 1 | 5 | 1 | 0 | 06/06/00 | Leone-Bay et al | 424 | 85.2 | 04/23/97 |
| | | 6 | 0 | 7 | 1 | 5 | 3 | 8 | 06/06/00 | Milstein et al. | 424 | 464 | 09/30/97 |
| | | 6 | 0 | 8 | 4 | 1 | 1 | 2 | 07/04/00 | Ho et al. | 554 | 114 | 09/10/96 |
| | | 6 | 0 | 9 | 0 | 9 | 5 | 8 | 07/18/00 | Milstein et al | 554 | 112 | 02/07/97 |
| | | 6 | 0 | 9 | 9 | 8 | 5 | 6 | 08/08/00 | Milstein et al. | 424 | 450 | 12/10/96 |
| | | 6 | 1 | 0 | 0 | 2 | 8 | 5 | 08/08/00 | Kantor | 514 | 400 | 11/11/97 |
| | | 6 | 1 | 0 | 0 | 2 | 9 | 8 | 08/08/00 | Leone-Bay et al. | 514 | 563 | 02/06/97 |
| V | | 6 | 1 | 8 | 0 | 1 | 4 | 0 | 01/30/01 | Leone-Bay et al. | 424 | 489 | 06/02/95 |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION | |
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| | 0 | 0 | 3 | 6 | 1 | 4 | 5 | 9/23/81 | EPO | | | | |
| | 2 | 0 | 9 | 5 | 9 | 9 | 4 | 10/13/82 | Great Britain | | | | |
| | 0 | 2 | 2 | 6 | 2 | 2 | 3 | 6/27/87 | EPO | | | | |

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| 9/21/90 | | Japan | | | |
| 9/30/93 | | PCT/WO | | | |
| 9/12/92 | | EPO | | | |

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